# **International Studies 365**

State - Society Relations in Comparative Context

### Dr. Shane Joshua Barter

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Fall Term: September-December 2015

Monday & Wednesday: 0830-1000

Class: Maathai 304

Office: Maathai 310

Office Hours: After class / by appointment



#### THE COURSE

The image above is a representation of Hobbes' Leviathan, an all-powerful state derived from social need and tasked with delivering order. Of course, your view of an all-powerful sovereign depends on who you are. The state may represent a threat, a nuisance, an opportunity, or a saviour, depending on time, person, and place.

What is the state? How is it organized? How do different countries select leaders, where is power located, who rules, and who is excluded? Under what conditions do people obey the state, resist it, or transform it? Which societal configurations challenge state control? How do states gain the legitimacy to rule?

INTS 365, State - Society Relations in Comparative Context, provides students with a conceptual understanding of the composition of states in diverse societal contexts. The course begins with a discussion of regimes, leading to the first assignment, in which students assess the regime of a country of their choice. The second part of the course is concerned with defining the state and understanding its institutions. This will motivate the second assignment, in which students will design what they feel to be an ideal institutional configuration for their country. The third part of the course pushes back, looking at societies, and how they undermine your designs. Reflecting this, your third paper will consider resistance from various societal forces. You will then assemble and revise your three papers to form a white paper on state / society relations.

Students will develop a heightened understanding of democratic and non-democratic regimes, how electoral systems turn votes into seats, the tradeoffs in different systems, and how these systems interact with a myriad of societal forces that may resist the state (sometimes for good reason).

#### **POLICIES**

First: I place great emphasis on constructive participation. The classroom is a place to share ideas / opinions and to challenge each other, but always respectfully: listen to fellow students; communicate effectively regarding assignments; arrive on time; avoid gender, racial, or religious insensitivities; and complete the required readings. The best participation tends to come in the form of insightful questions posed to the class. Quantity is not always the same thing as quality.

Second: Teacher-student communication. I am always available via email, but if you have a question, other students may want to hear the answer, so ask it in class to gain the participation mark that you deserve. For assignments, email is no substitute for face to face chats. So come and talk to me.

Third: The course has a considerable online presence via Angel. Here, you will find syllabus (which may be updated), a course calendar, RSS feeds, and links to useful pages. Under the 'Material' tab, you will find links to all readings as well as overviews of previous days' lectures.

Fourth: I expect professionalism in all assignments. Avoid casual internet sources (i.e. wiki), avoid contractions, and present a clear argument. Students should consult with the instructor regarding the research question and organization of major written assignments.

Fifth: All written assignments must be submitted to the instructor in hard and electronic copies, as well as submitted to Turnitin via Angel. I actually do not like turnitin, but also see plagiarism as a serious academic offense. I hope turnitin can be seen as an educational tool, helping students understand the different between citation, paraphrasing, and plagiarism. In the event of an illness or personal emergency, you must contact me before a given deadline. Late assignments will be deducted 5% per day, including weekends, beginning at the end of class.

#### GRADING

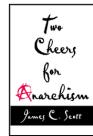
Soka grading is organized in terms of letter grades: A, B+, C-, etc, as well as a 0-4 grade point average. This is how the percentage points in this course will translate into these scales:

90-100	A+	4.0	65-69	B-	2.7	
85-89	A	4.0	60-64	C+	2.3	
80-84	A-	3.7	55-59	С	2.0	
75-79	B+	3.3	50-55	C-	1.7	
70-74	В	3.0	>50	F	1.0	

#### **READINGS**

James C. Scott, Two Cheers for Anarchism

This brief book provides colourful illustrations of why society pushes back against the state. Students must purchase a copy before the final part of the course. You can find it on <a href="https://www.abebooks.com">www.abebooks.com</a> and the instructor has purchased extra copies (\$12 first come, first serve). It is easy to read and awesome.



#### Academic Journal Articles

Most readings are academic journal articles or chapters from core texts. I have provided hyperlinks to assigned articles and chapters through the Angel site (look at the 'Lessons' tab). Please let me know if a link does not work. And why not go ahead and download all the articles now, to avoid hiccups later. Foresight rocks.

#### **EVALUATION**

20%	Participation	Ongoing
10%	Short Paper: Regimes	05 October (Monday)
10%	Short Paper: Institutions	02 November (Monday)
10%	Short Paper: State and Society	30 November (Monday)
10%	Presentations	02 & 07 December
20%	Final Paper	11 December (Friday)
20%	Final Exam	TBA (15-21 December)

**Participation**: 20% of your grade will be based on respectful participation: asking insightful questions, engaging with colleagues, punctuality, attending classes, not playing on the internet, and communicating with me regarding assignments. **Evaluation ongoing** 

**Short Papers**: 30% (3 x 10%) of your grade will be earned through three short (5-6 pages each) papers. Students will select a country at the beginning of the course (by 23 September) and write the three papers on different elements of the country's political and social systems as the course progresses. At each stage, students will gain a deeper understanding into the complex issues involved in political reform and development.

- -Regimes: Students will provide some background on their country's current political system, classify their country's current political regime, and make a case for its ideal regime-type. **Due** in class 05 October
- -Institutions: Students will design what they feel is the ideal political system for their country, given their chosen regime-type, using the institutions discussed in class. Students will be expected to explain their choices in light of other options. **Due in class 02 November**
- -The State in Society: While you were a political engineer in the first two assignments, now society strikes back. This paper will discuss social forces in their country, emphasizing how the state manages / should manage particular societal forces and how policies play out in practise, leading to an understanding of state capacity. **Due in class 30 November**

**Presentations**: 10% of your grade will come from group presentations (graded individually). Students will be organized by region, depending on which countries students choose to study and the size of the class. While focusing on their particular country, students are also expected to work as a team to integrate and compare their cases, lead class discussions, and show some creative flair in teaching the class about your country and political reforms. **02 & 07 December** 

**Final Paper**: 20% of your grade will be earned in terms of a White Paper on Political Change in your chosen country. Pretend that you have been contacted by the current government and asked to design a new political system given your country's particular historical, ethnic, and social milieu. This paper will integrate your three short papers, respond to the instructor's comments, and add some additional comparative insights. The final paper should be about twenty pages. **Due 11 December, 4pm (hard copy in my office, emailed, and turnitin.** 

**Final Exam**: 20% of your grade will be assessed through a final exam which will test student's understanding of course material through one essay-style question which will ask you to design a political system for a particular country context. **To be announced (15-21 December)** 

### **INTRODUCTION**

14 September	Introduction
Monday	Why do we study the state, society, and their interactions?
Session 01	Overview, about the course, the Leviathan
Readings	The Economist, "The Power of Xi Jingping" (20 September 2014).
	AND
	Al Jazeera, "Rouhani Wins Iran's Presidential Election" (15 June 2013).

16 September	Understanding The State
Wednesday	Is the state an arena or an actor?
Session 02	The state, regimes, nations
Readings	Stephen D. Krasner, "Review: Approaches to the State: Alternative Conceptions & Historical
	Dynamics," Comparative Politics 16:2 (1984); pp. 223-246.

## **REGIMES**

What are the major forms of political organization? What is democracy and what are its alternatives? How do regimes affect people's lives?

21 September	Classifying Regimes
Monday	How do we define and classify political systems / regimes?
Session 03	Democracy, authoritarianism, all points in between and beyond
Readings	Robert Orr, "Reflections on Totalitarianism, Leading to Reflections on Two Ways of
	<u>Theorizing</u> ," <i>Political Studies</i> 21:4 (1973); pp. 481-489.
	AND
	Fred Miller, "Aristotle's Political Theory," The Stanford Encyclopaedia of Philosophy (2012).

23 September	Measuring Regimes
Wednesday	How do we distinguish between democracy and authoritarianism?
Session 04	Dichotomous classifications, diminished sub-types
Readings	Mike Alvarez et al, "Classifying Political Regimes," Studies in Comparative International Development
	31:2 (1996); pp. 3-22.
	AND
	David Collier & Steven Levitsky, "Democracy with Adjectives: Conceptual Innovation in
	Comparative Research," World Politics 49:4 (1997); pp. 430-451 (skim—just get the basics).

### \*\*\*25-27 September: International Studies Association West Conference, Pasadena\*\*\*

(Students have an opportunity attend a political science conference)

28 September	Hybrid Regimes
Monday	How do we understand grey areas between democracy and authoritarianism?
Session 05	Competitive Authoritarianism, Quasi-Democracy
Readings	Matthijs Bogaards, "How To Classify Hybrid Regimes? Defective Democracy & Electoral
	Authoritarianism," Democratization 16:2 (2009); pp. 399-423.

30 September	Regimes Matter
Wednesday	How does regime-type affect people's lives?
Session 06	Roving vs Stationary bandits, development
Readings	Mancur Olson, "Dictatorship, Democracy, & Development," American Political Science Review
	87:3 (1993); pp. 567-576.
	AND
	Adam Przeworski & Fernando Limongi, "Political Regimes & Economic Growth," The Journal
	of Economic Perspectives 7:3 (1993); pp. 51-69.

#### How are states organized?

Is there an ideal institutional configuration?

Where is power located, how is it granted, how is it regulated?

### THE STATE

05 October	Centre-Periphery Relations
Monday	Should power be devolved to sub-national units?
Session 07	Federalism, asymmetrical federalism, confederations, decentralization, sub-national governments, enclaves
Readings	Ronald L. Watts, "Federalism, Federal Political Systems, & Federations," Annual Review of
	Political Science 1 (1998); pp. 117-137.
***05 October: First Short Paper Due***	

07 October	Executive / Legislative Relations
Wednesday	Should power by divided or fused? What are the effects of a direct mandate?
Session 08	Presidentialism vs Parliamentarism
Readings	Juan Linz, "The Perils of Presidentialism," Journal of Democracy 1 (1990); pp. 51-69 (skim).
	AND
	Scott Mainwaring & Matthew S. Shugart, "Juan Linz, Presidentialism, & Democracy: A Critical
	Appraisal," Comparative Politics 29:4 (1997); pp. 449-471.

12 October	Electoral Systems
Monday	Should we strive for efficiency or fairness?
Session 09	Plurality vs Proportionality, ballot design, district magnitude, electoral formula
Readings	André Blais & Louis Massicotte, "Electoral Systems," in Comparing Democracies 2: New Challenges
	in the Study of Elections & Voting, edited by Lawrence LeDuc, Richard Niemi, & Pippa Norris
	(London: Sage, 1996); pp. 40-69.

14 October	Party Systems
Wednesday	What are the causes and effects of different party configurations?
Session 10	Effective Number of Parties, Duverger's Law, cadre vs mass parties, party caucus, party institutionalization
Readings	Douglas W. Rae, "Using District Magnitude to Regulate Political Party Competition," The
	Journal of Economic Perspectives 9:1 (1995); pp. 65-75.

19 October	Rule of Law
Monday	What is the rule of law, and is it above popular opinion?
Session 11	Civil & Common law, judicial independence, judicial supremacy
Readings	Christopher Larkins, "Judicial Independence & Democratization: A Theoretical & Conceptual
	Analysis," The American Journal of Comparative Law 44:4 (1996); pp. 605-626.

21 October	Constitutions
Wednesday	How much power should elected officials possess vis-à-vis the judiciary?
Session 12	Separation of powers, judicial review, constitutionalism
Readings	Maxwell Cameron, "Strengthening Checks & Balances: Democracy Defence Promotion in the
	Americas," Canadian Foreign Policy Journal 10:3 (2003); pp. 101-116.

### \*\*\*23-25 October: Society for the Scientific Study of Religion, Newport Beach\*\*\*

(Students have an opportunity attend a religious studies conference)

26 October	Armed Forces
Monday	How can leaders keep the armed forces out of politics?
Session 13	Professionalism, Civilian Supremacy, Policing (Command and Control)
Readings	Samuel Huntington, "Reforming Civil-Military Relations," Journal of Democracy 6:4 (1995); 9-17.
Ü	AND
	Douglas L. Bland, "A Unified Theory of Civil-Military Relations," Armed Forces & Society 26: 1
	(1999); pp. 7-25.

28 October	The Bureaucracy
Wednesday	Should all forms of political power be elected?
Session 14	Bureaucracies, Interior Ministries, Upper Houses
Readings	James Rauch & Peter Evans, "Bureaucratic Structure & Bureaucratic Performance in Less
	Developed Countries," Journal of Public Economics 75 (2000); pp. 49-71.

## STATE IN SOCIETY

What stops states from achieving their goals? How and why do states shape ethnic cleavages? Should the state be able to shape society?

02 November	State Capacity
Monday	Can the state achieve its goals?
Session 15	Political development, state power
Readings	Samuel Huntington, "Political Development & Political Decay," World Politics 17:3 (1965); pp.
	383-430.
***02 November: Second Short Paper Due***	

04 November	State – Society Relations
Wednesday	How is political development shaped by societal forces?
Session 16	Strong states, failed states, state capture, corporatism
Readings	Joel Migdal, Strong Societies & Weak States: State-Society Relations & State Capabilities in the Third
	World (Princeton University Press, 1988); Chapter One, pp. 10-41.

09 November	Strong Society, Weak State
Monday	How and why do societal forces resist state domination?
Session 17	State-deterring cultures, autonomy, corruption, patrimonialism
Readings	Kathleen Collins, "The Logic of Clan Politics: Evidence From Central Asian Trajectories,"
	World Politics 56 (2004); pp. 224-261.

11 November	State Economic Intervention
Wednesday	How do states manage the economy?
Session 18	The Developmental State, Neoliberalism
Readings	Ziya Onis, "Review: The Logic of the Developmental State," Comparative Politics 24:1 (1991); pp.
	109-126.

16 November	Civil Society & The State
Monday	How do states manage direct action and special interests?
Session 19	Protest, co-operation, & co-optation, media
Readings	Ken Foster, "Associations in the Embrace of an Authoritarian State: State Domination of
	Society?" Studies in Comparative International Development 35:4 (2001); pp. 84-109.

18 November	Resisting the State
Wednesday	How does resistance take place under authoritarian regimes?
Session 20	Rightful resistance, hybrid resistance
Readings	Kevin O'Brien, "Rightful Resistance," World Politics 49:1 (1996); pp. 31-55.

23 November	Managing Diversity 01
Monday	How do states manage difference?
Session 21	Consociationalism, exclusion, melting pot vs mosaic
Readings	Arend Lijphart, "Consociational Democracy," World Politics 21:2 (1969); pp. 207-255.

25 November	Managing Diversity 02
Wednesday	How do political cleavages shape political competition?
Session 22	Rethinking the Effective Number of Parties
Readings	Benjamin Reilly, "Political Engineering in Conflict-Prone Societies," Democratization 13:5 (2006);
	pp. 811-827.

## **DISCUSSION:** Cases & Concepts

30 November	Societal Resistance 01	
Monday		
Session 23		
Readings	James C. Scott, Two Cheers for Anarchism; Chapters 1-3 (pp. 1-83)	
***30 November: Third Short Paper Due***		

02 December	Societal Resistance 02	
Wednesday		
Session 24		
Readings	James C. Scott, Two Cheers for Anarchism; Chapter 4 (pp. 84-100).	
***Country Presentations***		

07 December	Societal Resistance 03	
Monday		
Session 25		
Readings	James C. Scott, Two Cheers for Anarchism; Chapters 5-6 (pp. 101-142).	
***Country Presentations***		

## **CONCLUSIONS**

09 December	Intervention & State-Building
Wednesday	(How) Should We Promote Political Reforms in Other Countries?
Session 26	Foreign aid, election observation, conditionality, intervention
Readings	Mark Peceny, "Forcing Them to be Free," Political Research Quarterly 52:3 (1999); pp. 549-582.

***11 December: Final Paper Due***

## \*\*\*Final Exam (Date & Time TBD, likely 16 December)\*\*\*